



## E UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

: Gelman et al.

Serial No.

: 09/902,432

Examiner:

**TBA** 

Filed

: July 10, 2001

Group Art Unit:

1632

For

: TUMOR SUPPRESSOR GENE

#6

## RESPONSE TO NOTICE TO FILE MISSING PARTS

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231. Date: April 3, 2002

Attorney: Carmella L. Stephens

PTO Reg. No. 41,328

Signature

Date of Signature

Assistant Commissioner of Patents Washington, D.C. 20231

SIR:

In response to the Notice to File Missing Parts dated October 3, 2001, please find enclosed:

- (i) a Combined Declaration and Power of Attorney, executed by the Applicants;
- substitute drawings, in compliance with 37 CFR 1.84; (ii)
- a Submission of Sequence Listing, with Sequence Listing in paper and (iii) computer readable format;
- (iv) a Petition for Extension of Time under 37 CFR 1.136(a) for a period of four months, up to and including April 3, 2002; and
- (v) A check in the amount of \$785, which is comprised of: \$65 for the declaration surcharge and \$720 for the fee for extension of time for four



months. The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication to Deposit Account No. 02-4377. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Urmum X. Stephens Rochelle K. Seide PTO Reg. No. 32,300

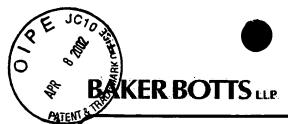
Carmella L. Stephens PTO Registration No. 41,328

ATTORNEYS FOR APPLICANTS

(212) 408-2539

BAKER BOTTS, L.L.P 30 Rockefeller Plaza New York NY 10112

Encls.



## FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)	7	$\boldsymbol{}$	٤

Complete if Known				
Application Number	09/902,432			
Filing Date	07/10/2001			
First Named Inventor	Irwin Gelman	_		
Examiner Name	To be Assigned			
Group Art Unit	1632	_		
Attorney Docket No.	A30558-A-FWC-A	7		

METHOD OF PAYMENT	FEE CALCULATION (continued)			
1. The Commissioner is hereby authorized to charge	3. ADDITION	IAL FE	ES	
Deposit Deposit	Large	Sma		
Account Number 02-4377	Entity Fee	Enti	•	Fee Paid
Denosit	(\$)	(\$)	•	65
Account Name Baker Botts LLP	130	65	Surcharge - late filing fee or oath	
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	50	25	Surcharge - late provisional filing fee or cover sheet	
Applicant claims small entity status.	130	130	Non-English specification	
See 37 CFR 1.27	2,520	2,520	For filing a request for ex parte reexamination	
2. Payment Enclosed: Check Credit card Money Order Other	920*	920*	Requesting publication of SIR prior to Examiner action	
FEE CALCULATION	1,840*	1,840	* Requesting publication of SIR after Examiner action	
1. BASIC FILING FEE	110	55	Extension for reply within first month	
Large Entity Small Entity	400	200	Extension for reply within second month	
Fee Fee Pescription (\$) (\$) Fee Paid	920	460	Extension for reply within third month	
(\$) (\$) Fee Paid 740 370 Utility filing fee	1,440	720	Extension for reply within fourth month	720
330 165 Design filling fee	1,960	980	Extension for reply within fifth month	
510 255 Plant filing fee	320	160	Notice of Appeal	
740 370 Reissue filing fee	320	160	Filing a brief in support of an appeal	
160 80 Provisional filing fee	280	140	Request for oral hearing	
·	1,510	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 0	110	55	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES Fee from	1,280	640	Petition to revive - unintentional	
Ext <u>ra Claims below</u> Fee Paid	1,280	640	Utility issue fee (or reissue)	
Total Claims = = =	460	230	Design issue fee	
Independent - 3 ** = 0 x = 0	620	310	Plant issue fee	
Multiple Dependent	130	130	Petitions to the Commissioner	
	50	50	Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity Fee Fee Fee Description	180	180	Submission of Information Disclosure Stmt	
(\$) (\$) 18 9 Claims in excess of 20	40	40	Recording each patent assignment per property (times number of properties)	
84 42 Independent claims in excess of 3	740	370	Filing a submission after final rejection	7
280 140 Multiple dependent claim, if not paid			(37 CFR § 1.129(a))	
84 42 ** Reissue independent claims over original patent	740	370	For each additional invention to be examined (37 CFR § 1.129(b))	
18 9 ** Reissue claims in excess of 20	740	370	Request for Continued Examination (RCE)	
and over original patent	900	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 0	Other fee (specify	/)		
**or number previously paid, if greater; For Reissues, see above	*Reduced by Bas	ic Filing	Fee Paid SUBTOTAL (3) (\$) 78	5

SUBMITTED BY		• • • • • •		Complete (i	f applicable)
Name (Print/Type)	Carmella L. Stephens	Registration No. (Attorney/Agent)	41,328	Telephone	(212) 408-2539
Signature	Curmum L. Gunhams			Date	April 3, 2002



## BAKER BOTTS LLP

Please type a plus sign (+) inside this box —



MP B Bar Sequence

E JCIO			Application Nun	nber	09/902,432
FORM  (to be and for all correspondence after initial filing)		Filing Date		07/10/2001	
		First Named Inv	entor	Irwin Gelman	
		Group Art Unit		1632	
PATENT & TE			Examiner Name		To be Assigned
Total Number of	of Pages in This Subm	nission	Attorney Docket I	Number	A30558-A-FWC-A
		ENCL	OSURES (	check a	ill that apply)
Fee Transmittal Form  Fee Attached  Drawing  Licensin  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement			nent Papers Application)  (s) (90 Sheets)  g-related Papers  to Convert to a nal Application  of Attorney, Revocation of Correspondence  Il Disclaimer  t for Refund  mber of CD(s)	on [	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): Executed Combined Declaration and Power of Attorney; and Submission of Sequence Listing + Sequence Listing in computer and paper readable form.
	SIGNATU	JRE OF APPLIC	CANT, ATTORNE	Y, OR A	GENT
or	BakerBotts LLP 30 Rockefeller Pl New York, NY 10	aza	,	, =	
Signature	Curmum L	Stephens	Att N PTO		Carmella L. Stephens 41,328
Date April 3, 2002					
CERTIFICATE OF MAILING					
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: April 3, 2002					
Typed or printed name Carmella L. Stephens					
Signature	Caronella	L. Stephen	٥	Date	April 3, 2002





Attorney Docket Number: A30558-A-FWC-A

Title: TUMOR SUPPRESSOR GENE

Use Space Below for Additional Information: